

Test Report No. CANEC1921754123 Date: 16 Nov 2019 Page 1 of 6

SHENZHEN REFOND OPTOELECTRONICS CO.,LTD

6TH FLOOR, BUILDING #1, 10TH INDUSTRIAL ZONE, TIAN LIAO COMMUNITY, GONG MING AREA, GUANG MINGNEW DISTRICT, SHENZHEN, CHINA

The following sample(s) was/were submitted and identified on behalf of the clients as: White SMD Chip LED

SGS Job No.: CP19-059267 - SZ

Date of Sample Received: 05 Nov 2019

Testing Period: 05 Nov 2019 - 11 Nov 2019

Test Requested: Selected test(s) as requested by client.

Test Method: Please refer to next page(s).

Test Results: Please refer to next page(s).

Based on the performed tests on submitted sample(s), the results of Lead, Conclusion:

Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls (PBBs),

Polybrominated diphenyl ethers (PBDEs) and Phthalates such as

Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl

phthalate (DBP), and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of SGS-CSTC Standards Technical Services Co., Ltd. Guangzhou Branch

Jessieli

Jessie Li

Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443.

testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

198 Kezhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国·广州·经济技术开发区科学城科珠路198号

邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



Test Report No. CANEC1921754123 Date: 16 Nov 2019 Page 2 of 6

Test Results:

Test Part Description:

Specimen No. SGS Sample ID Description

SN1 CAN19-217541.009 "White SMD Chip LED"

Remarks:

(1) 1 mg/kg = 1 ppm = 0.0001%

(2) MDL = Method Detection Limit

(3) ND = Not Detected (< MDL)

(4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method: With reference to IEC 62321-4:2013+A1:2017, IEC 62321-5:2013, IEC 62321-7-2:2017, IEC 62321-6:2015 and IEC 62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>009</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1,000	mg/kg	2	ND
Mercury (Hg)	1,000	mg/kg	2	ND
Hexavalent Chromium (CrVI)	1,000	mg/kg	8	ND
Sum of PBBs	1,000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1,000	mg/kg	-	ND
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND
Tribromodiphenyl ether	-	mg/kg	5	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND
Pentabromodiphenyl ether	-	mg/kg	5	ND

中国·广州·经济技术开发区科学城科珠路198号



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com
[199 Kazhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn

邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



Test Report	No. CANEC19217541	754123 Date: 16 No		6 Nov 2019	Page 3 of 6
Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>009</u>	
Hexabromodiphenyl ether	-	mg/kg	5	ND	
Heptabromodiphenyl ether	-	mg/kg	5	ND	
Octabromodiphenyl ether	-	mg/kg	5	ND	
Nonabromodiphenyl ether	-	mg/kg	5	ND	
Decabromodiphenyl ether	-	mg/kg	5	ND	
Dibutyl phthalate (DBP)	1,000	mg/kg	50	ND	
Butyl benzyl phthalate (BBP)	1,000	mg/kg	50	ND	
Bis (2-ethylhexyl) phthalate (DEHP)	1,000	mg/kg	50	ND	
Diisobutyl Phthalates (DIBP)	1.000	ma/ka	50	ND	

Notes:

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.IEC 62321 series is equivalent to EN 62321 series
- https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID:1258 637,25
- (2) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall not apply to toys which are already subject to the restriction of DEHP, BBP, DBP and DIBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com



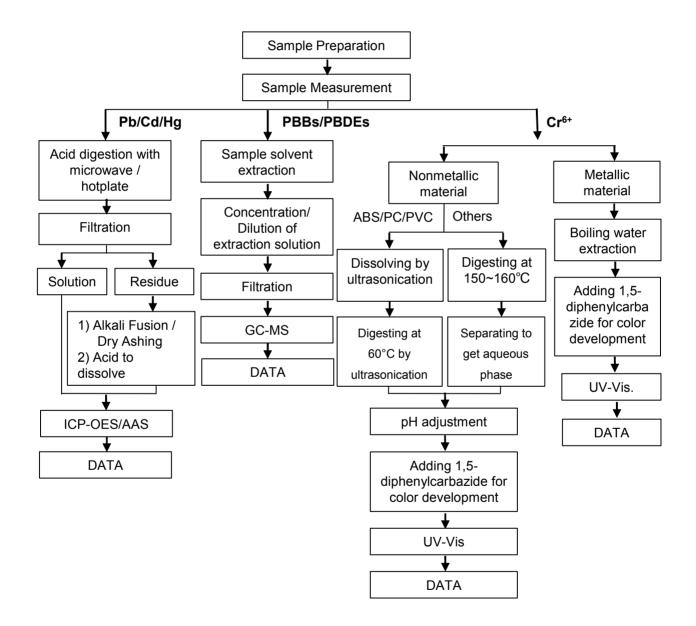
Test Report No. CANEC1921754123 Date: 16 Nov 2019

Page 4 of 6

ATTACHMENTS

Pb/Cd/Hg/Cr6+/PBBs/PBDEs Testing Flow Chart

1) These samples were dissolved totally by pre -conditioning method according to below flow chart. (Cr6+ and PBBs/PBDEs test method excluded).





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

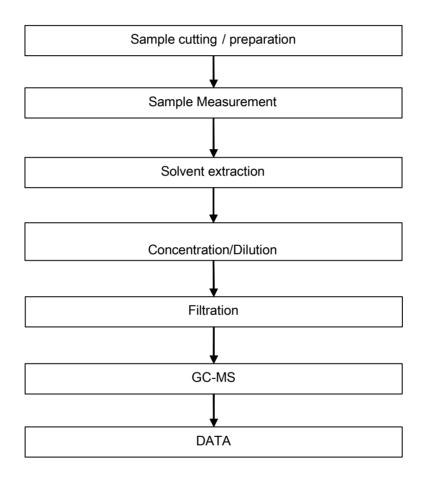
testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,



Test Report No. CANEC1921754123 Date: 16 Nov 2019 Page 5 of 6

ATTACHMENTS

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com



Test Report

No. CANEC1921754123

Page 6 of 6

Date: 16 Nov 2019

Sample photo:



SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,